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# **HSBC Holdings plc**

## **Overseas Regulatory Announcement**

The attached announcement has been released to the other stock exchanges on which HSBC Holdings plc is listed.

The Board of Directors of HSBC Holdings plc as at the date of this announcement comprises: Mark Tucker\*, Noel Quinn, Rachel Duan<sup>†</sup>, Carolyn Julie Fairbairn<sup>†</sup>, James Anthony Forese<sup>†</sup>, Steven Guggenheimer<sup>†</sup>, Irene Lee<sup>†</sup>, José Antonio Meade Kuribreña<sup>†</sup>, Eileen K Murray<sup>†</sup>, David Nish<sup>†</sup>, Ewen Stevenson, Jackson Tai<sup>†</sup> and Pauline van der Meer Mohr<sup>†</sup>.

\* Non-executive Group Chairman

<sup>†</sup> Independent non-executive Director

Hong Kong Stock Code: 5



# **HSBC HOLDINGS PLC**

### 4 October 2021

### Notification of Transactions by Persons Discharging Managerial Responsibilities ("PDMRs")

The following transactions of US\$0.50 ordinary shares (the "Shares") in HSBC Holdings plc (the "Company"), relating to the interim dividend for the 2021 half-year (the "interim dividend"):

#### 1. Shares allocated in lieu of the interim dividend

The following transactions took place on 30 September and relate to additional Shares being allocated to PDMRs' unvested share plan interests in lieu of the interim dividend. The price per Share was US\$5.4067.

#### Directors

| Name       | Shares allocated |
|------------|------------------|
| Noel Quinn | 681              |

#### Other PDMRs

| Name             | Shares allocated |  |  |  |
|------------------|------------------|--|--|--|
| Colin Bell       | 507              |  |  |  |
| Georges Elhedery | 199              |  |  |  |
| Pam Kaur         | 522              |  |  |  |
| David Liao       | 3,303            |  |  |  |
| Nuno Matos       | 161              |  |  |  |
| Stephen Moss     | 189              |  |  |  |
| Surendra Rosha   | 129              |  |  |  |
| Ian Stuart       | 444              |  |  |  |

#### 2. Acquisitions as part of the reinvestment of the interim dividend

The following transactions took place on 1 October and relate to additional Shares being added to PDMRs' vested share plan interests through the automatic reinvestment of the interim dividend. The price per Share was £3.88172.

#### **Other PDMRs**

| Name            | Shares acquired          |
|-----------------|--------------------------|
| David Liao      | 2,169                    |
| Nuno Matos      | 1,988.27628              |
| Stephen Moss    | 1,950                    |
| Barry O'Byrne   | 1,182                    |
| Michael Roberts | 3,982                    |
| Surendra Rosha  | 1,095.35629 <sup>1</sup> |

<sup>1</sup> Includes 1.07868 Shares purchased on 4 October on the Hong Kong Stock Exchange at HK\$40.3175 per Share.

The following disclosures are made in accordance with the UK version of the EU Market Abuse Regulation 596/2014.

| Details of the   | person disch   | ang managena   |   | <u></u>                                   |  |  |
|--|--|--|---|---|--|--|
| me of natural  | person   |  | Noel Quinn  |   |  |  |
| Reason for th  | e notification   |  |   |   |  |  |
| Position/status  |  |  | Group Chief   | Executive                                 |  |  |
| tial notificatior  | n/amendment  |  | Initial Notific   | ation                                     |  |  |
| Details of the auction monit   |  | ion allowance ma                                     | rket participa  | ant, auction                              | platform, auctioneer   |  |
| II name of the   | entity   |  | HSBC Holdi  | ngs plc                                   |  |  |
| gal Entity Iden  | tifier code  |  | MLU0ZO3M  | IL4LN2LL2T                                | L39  |  |
| Details of the   | u ansaction(S  | )  |   |   |  |  |
| Tropostion/-   | ) our mons to  | hla  |   |   |  |  |
| Transaction(s  | ) summary tal  | ble  |   |   |  |  |
| Date of  | ) summary ta<br>Financial<br>Instrument  | ble<br>Identification<br>Code                        | Place of Tra  | ansaction                                 | Currency   |  |
| Date of<br>Transaction   | Financial  | Identification                                       | Place of Tra<br>London Stoo<br>Exchange, M<br>Market (XLC                   | ck<br>Main                                | Currency<br>USD – United<br>States Dollar                              |  |
| Date of<br>Transaction<br>2021-09-30   | Financial<br>Instrument<br>Ordinary<br>shares of<br>US\$0.50<br>each   | Identification<br>Code                               | London Stoo<br>Exchange, M  | ck<br>Main                                | USD – United   |  |
| Date of<br>Transaction<br>2021-09-30<br>Nature of Tran   | Financial<br>Instrument<br>Ordinary<br>shares of<br>US\$0.50<br>each<br>saction:   | Identification<br>Code                               | London Stoo<br>Exchange, M<br>Market (XLC                                   | ck<br>Main<br>DN)                         | USD – United<br>States Dollar  |  |
| Date of<br>Transaction<br>2021-09-30   | Financial<br>Instrument<br>Ordinary<br>shares of<br>US\$0.50<br>each<br>saction:<br>ed in lieu of  | Identification<br>Code                               | London Stoo<br>Exchange, M<br>Market (XLC<br>Price                          | ck<br>Main<br>DN)<br>Volume               | USD – United<br>States Dollar<br>Total                                 |  |
| Date of<br>Transaction<br>2021-09-30<br>Nature of Tran<br>Shares allocate<br>the interim divid                                     | Financial<br>Instrument<br>Ordinary<br>shares of<br>US\$0.50<br>each<br>saction:<br>ed in lieu of  | Identification<br>Code<br>GB0005405286               | London Stoo<br>Exchange, M<br>Market (XLC<br>Price<br>US\$5.41              | ck<br>Main<br>DN)<br>Volume<br>681        | USD – United<br>States Dollar<br><b>Total</b><br>US\$3,681.96          |  |
| Date of<br>Transaction<br>2021-09-30<br>Nature of Tran<br>Shares allocate<br>the interim divid<br>2021 half-year                   | Financial<br>Instrument<br>Ordinary<br>shares of<br>US\$0.50<br>each<br>each<br>saction:<br>ed in lieu of<br>dend for the                      | Identification<br>Code<br>GB0005405286<br>Aggregated | London Stoc<br>Exchange, M<br>Market (XLC<br>Price<br>US\$5.41<br>US\$5.407 | ck<br>Main<br>DN)<br>Volume<br>681<br>681 | USD – United<br>States Dollar<br><b>Total</b><br>US\$3,681.96          |  |
| Date of<br>Transaction<br>2021-09-30<br>Nature of Tran<br>Shares allocate<br>the interim divid<br>2021 half-year<br>Details of the | Financial<br>Instrument<br>Ordinary<br>shares of<br>US\$0.50<br>each<br>saction:<br>ed in lieu of<br>dend for the<br>person discha             | Identification<br>Code<br>GB0005405286<br>Aggregated | London Stoc<br>Exchange, M<br>Market (XLC<br>Price<br>US\$5.41<br>US\$5.407 | ck<br>Main<br>DN)<br>Volume<br>681<br>681 | USD – United<br>States Dollar<br>Total<br>US\$3,681.96<br>US\$3,681.96 |  |
| Date of<br>Transaction<br>2021-09-30<br>Nature of Tran<br>Shares allocate<br>the interim divid<br>2021 half-year                   | Financial<br>Instrument<br>Ordinary<br>shares of<br>US\$0.50<br>each<br>isaction:<br>ed in lieu of<br>dend for the<br>person dischar<br>person | Identification<br>Code<br>GB0005405286<br>Aggregated | London Stoo<br>Exchange, M<br>Market (XLC<br>Price<br>US\$5.41<br>US\$5.407 | ck<br>Main<br>DN)<br>Volume<br>681<br>681 | USD – United<br>States Dollar<br>Total<br>US\$3,681.96<br>US\$3,681.96 |  |

Initial notification/amendment

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity

HSBC Holdings plc

Initial Notification

Legal Entity Identifier code

MLU0ZO3ML4LN2LL2TL39

### 4 - Details of the transaction(s)

### Transaction(s) summary table

| Date of<br>Transaction                                 | Financial<br>Instrument                   | Identification<br>Code | Place of Transaction                            |            | Currency                      |
|--|---|------------------------|---|------------|-------------------------------|
| 2021-09-30   | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stock<br>Exchange, Main<br>Market (XLON) |            | USD – United<br>States Dollar |
| Nature of Tran   | saction:                                  |                        | Price   | Volume     | Total                         |
| Shares allocate<br>the interim divid<br>2021 half-year |   | Aggregated             | US\$5.41<br>US\$5.407                           | 507<br>507 | US\$2,741.20<br>US\$2,741.20  |

1 - Details of the person discharging managerial responsibilities / person closely associated Name of natural person Georges Elhedery 2 - Reason for the notification **Position/status** Co-Chief Executive, Global Banking and Markets Initial notification/amendment Initial Notification 3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor Full name of the entity **HSBC** Holdings plc Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39 4 - Details of the transaction(s) Transaction(s) summary table Date of Identification Place of Transaction Financial Currency Transaction Instrument Code 2021-09-30 GB0005405286 London Stock USD – United Ordinary States Dollar shares of Exchange, Main US\$0.50 Market (XLON) each Nature of Transaction: Volume Price Total Shares allocated in lieu of US\$5.41 US\$1,075.93 199 the interim dividend for the US\$5.407 US\$1,075.93 Aggregated 199 2021 half-year

| 1 - Details of the                 | person discha                             | arging manageria       | l responsibilit                                 | ties / perso | n closely associated          |  |
|------------------------------------|---|------------------------|---|--------------|-------------------------------|--|
| Name of natural                    | person                                    |                        | Pam Kaur  |              |                               |  |
| 2 - Reason for th                  | ne notification                           |                        |   |              |                               |  |
| Position/status                    |   |                        | Group Chief                                     | Risk and Co  | ompliance Officer             |  |
| nitial notificatio                 | n/amendment                               |                        | Initial Notifica                                | ation        |                               |  |
| 3 - Details of the<br>auction moni |   | ion allowance ma       | rket participa                                  | nt, auction  | platform, auctioneer          |  |
| Full name of the                   | entity                                    |                        | HSBC Holdir                                     | ngs plc      |                               |  |
| egal Entity Ide                    | ntifier code                              |                        | MLU0ZO3MI                                       | L4LN2LL2T    | L39                           |  |
| - Details of the                   | transaction(s)                            |                        |   |              |                               |  |
| Transaction(                       | s) summary tal                            | ble                    |   |              |                               |  |
| Date of<br>Transaction             | Financial<br>Instrument                   | Identification<br>Code | Place of Tra                                    | nsaction     | Currency                      |  |
| 2021-09-30                         | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stock<br>Exchange, Main<br>Market (XLON) |              | USD – United<br>States Dollar |  |
| Nature of Trai                     | nsaction:                                 |                        | Price   | Volume       | Total                         |  |
| Shares allocat                     | ed in lieu of                             |                        | US\$5.41  | 522          | US\$2,822.30                  |  |
| the interim divi<br>2021 half-year |   | Aggregated             | US\$5.407                                       | 522          | US\$2,822.30                  |  |

| 1 - Details of the person discharging ma                   | nagerial responsibilities / person closely associated  |
|--|--|
| Name of natural person                                     | David Liao   |
| 2 - Reason for the notification                            |  |
| Position/status  | Co-Chief Executive of Asia Pacific and The<br>Hongkong and Shanghai Banking Corporation<br>Limited |
| Initial notification/amendment                             | Initial Notification   |
| 3 - Details of the issuer, emission allowa auction monitor | ance market participant, auction platform, auctioneer or   |
| Full name of the entity                                    | HSBC Holdings plc  |
| Legal Entity Identifier code                               | MLU0ZO3ML4LN2LL2TL39   |
| 4 - Details of the transaction(s)                          |  |

# Transaction(s) summary table

| Date of<br>Transaction                                 | Financial<br>Instrument                   | Identification<br>Code | Place of Transaction                      |                       | Currency                       |  |
|--|---|------------------------|---|-----------------------|--------------------------------|--|
| 2021-09-30   | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stoc<br>Exchange, M<br>Market (XLO | lain                  | USD – United<br>States Dollar  |  |
| Nature of Tran   | saction:                                  |                        | Price                                     | Volume                | Total                          |  |
| Shares allocate<br>the interim divid<br>2021 half-year |   | Aggregated             | US\$5.41<br>US\$5.407                     | 3,303<br><b>3,303</b> | US\$17,858.33<br>US\$17,858.33 |  |

| Date of<br>Transaction   | Financial<br>Instrument                   | Identification<br>Code | Place of Transaction                            |        | Currency               |
|--|---|------------------------|---|--------|------------------------|
| 2021-10-01   | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stock<br>Exchange, Main<br>Market (XLON) |        | GBP – British<br>Pound |
| Nature of Transaction:   |   |                        | Price   | Volume | Total                  |
| Acquisition as p   | part of the                               |                        | £3.88   | 2,169  | £8,419.45              |
| reinvestment of the interim<br>dividend for the 2021 half-<br>year |   | Aggregated             | £3.882  | 2,169  | £8,419.45              |

| 1 - Details of the person discharging managerial responsibilities / person closely associated |                        |  |                               |  |  |
|---|------------------------|--|-------------------------------|--|--|
| Name of natural person  | ural person Nuno Matos |  |                               |  |  |
| 2 - Reason for the notificati   | on                     |  |                               |  |  |
| Position/status   |                        | Chief Executive, Wealth an                   | d Personal Banking            |  |  |
| Initial notification/amendme  | ent                    | Initial Notification                         |                               |  |  |
|   |                        |  |                               |  |  |
| 3 - Details of the issuer, em<br>auction monitor  | ission allowance ma    | rket participant, auction pla                | atform, auctioneer or         |  |  |
| Full name of the entity   |                        | HSBC Holdings plc                            |                               |  |  |
| Legal Entity Identifier code  |                        | MLU0ZO3ML4LN2LL2TL3                          | )                             |  |  |
| 4 - Details of the transactio   | n(s)                   |  |                               |  |  |
| Transaction(s) summary  | <sup>y</sup> table     |  |                               |  |  |
| Date ofFinancialTransactionInstrume   |                        | Place of Transaction                         | Currency                      |  |  |
| 2021-09-30 Ordinary shares of   | GB0005405286           | London Stock Exchange,<br>Main Market (XLON) | USD – United<br>States Dollar |  |  |

|                                  | US\$0.50<br>each |            |           |        |            |
|----------------------------------|------------------|------------|-----------|--------|------------|
| Nature of Tran                   | saction:         |            | Price     | Volume | Total      |
| Shares allocated in lieu of      |                  |            | US\$5.41  | 161    | US\$870.48 |
| the interim divid 2021 half-year | lend for the     | Aggregated | US\$5.407 | 161    | US\$870.48 |

| Date of<br>Transaction   | Financial<br>Instrument                   | Identification<br>Code | Place of Tr                                  | Currency    |                        |
|--|---|------------------------|--|-------------|------------------------|
| 2021-10-01   | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stock Exchange,<br>Main Market (XLON) |             | GBP – British<br>Pound |
| Nature of Tran   | saction:                                  |                        | Price  | Volume      | Total                  |
| Acquisition as p   | oart of the                               |                        | £3.88  | 1,988.27628 | £7,717.93              |
| reinvestment of the interim<br>dividend for the 2021 half-<br>year |   | Aggregated             | £3.882                                       | 1,988.27628 | £7,717.93              |

| 1 - Details of the                  | person discha                             | arging manageria       | l responsibilit                                 | ies / perso | n closely associated          |
|-------------------------------------|---|------------------------|---|-------------|-------------------------------|
| Name of natural                     | person                                    |                        | Stephen Moss                                    |             |                               |
| 2 - Reason for th                   | e notification                            |                        |   |             |                               |
| Position/status                     |   |                        | Chief Executi<br>Turkey                         | ve, Middle  | East, North Africa and        |
| Initial notification                | n/amendment                               |                        | Initial Notifica                                | ition       |                               |
| 3 - Details of the<br>auction monit | •   | ion allowance ma       | rket participar                                 | nt, auction | platform, auctioneer o        |
| Full name of the                    | entity                                    |                        | HSBC Holdin                                     | gs plc      |                               |
| Legal Entity Iden                   | tifier code                               |                        | MLU0ZO3ML                                       | 4LN2LL2T    | L39                           |
| 4 - Details of the                  | transaction(s)                            |                        |   |             |                               |
| Transaction(s                       | ) summary tal                             | ble                    |   |             |                               |
| Date of<br>Transaction              | Financial<br>Instrument                   | Identification<br>Code | Place of Transaction                            |             | Currency                      |
| 2021-09-30                          | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stock<br>Exchange, Main<br>Market (XLON) |             | USD – United<br>States Dollar |
| Nature of Tran                      | saction:                                  |                        | Price   | Volume      | Total                         |
| Shares allocate                     |   |                        | US\$5.41  | 189         | US\$1,021.87                  |
| the interim divid<br>2021 half-year | dend for the                              | Aggregated             | US\$5.407                                       | 189         | US\$1,021.87                  |

| Date of<br>Transaction          | Financial<br>Instrument                   | Identification<br>Code | Place of Transaction<br>London Stock<br>Exchange, Main<br>Market (XLON) |        | Currency               |  |
|---------------------------------|---|------------------------|---|--------|------------------------|--|
| 2021-10-01                      | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           |   |        | GBP – British<br>Pound |  |
| Nature of Tran                  | saction:                                  |                        | Price   | Volume | Total                  |  |
| Acquisition as preinvestment of |   | Aggregated             | £3.88<br><b>£3.882</b>  | 1,950  | £7,569.35<br>£7,569.35 |  |
| dividend for the<br>vear        |   | Aggregated             | 23.002  | 1,950  | 27,309.33              |  |

| - Details of the                 | person disch                              | arging manageria | l responsibil                                   | ities / perso | n closely associated   |  |
|----------------------------------|---|------------------|---|---------------|------------------------|--|
| lame of natural                  | person                                    |                  | Barry O'Byrne                                   |               |                        |  |
| - Reason for th                  | e notification                            |                  |   |               |                        |  |
| Position/status                  |   |                  | Chief Execu                                     | itive, Global | Commercial Banking     |  |
| nitial notificatio               | n/amendment                               |                  | Initial Notific                                 | ation         |                        |  |
| - Details of the<br>auction moni | •   | ion allowance ma | rket participa                                  | ant, auction  | platform, auctioneer   |  |
| Full name of the                 | entity                                    |                  | HSBC Holdi                                      | ings plc      |                        |  |
| egal Entity Ider                 | ntifier code                              |                  | MLU0ZO3N  | IL4LN2LL2T    | L39                    |  |
| - Details of the                 | transaction(s                             | )                |   |               |                        |  |
| Transaction(s                    | s) summary ta                             | ble              | Diago of Tr                                     | ancostion     | Curronov               |  |
| Transaction                      | Instrument                                | Code             | Place of Transaction                            |               | Currency               |  |
| 2021-10-01                       | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286     | London Stock<br>Exchange, Main<br>Market (XLON) |               | GBP – British<br>Pound |  |
| Nature of Tran                   |   |                  | Price   | Volume        | Total                  |  |
|                                  |   |                  | £3.88   | 1,182         | £4,588.19              |  |
| Acquisition as reinvestment of   |   |                  | ~0.00   | 1,102         | ~.,                    |  |

| 1 - Details of the person discharging managerial responsibilities / person closely associated |
|---|
|---|

| Name of natural person |  |
|------------------------|--|

2 - Reason for the notification

**Position/status** 

Initial notification/amendment

Chief Executive, US and Americas

Initial Notification

**Michael Roberts** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity

HSBC Holdings plc

MLU0ZO3ML4LN2LL2TL39

Legal Entity Identifier code

4 - Details of the transaction(s)

Transaction(s) summary table

| Date of<br>Transaction                      | Financial<br>Instrument                   | Identification<br>Code | Place of Transaction                            |        | Currency               |  |
|---|---|------------------------|---|--------|------------------------|--|
| 2021-10-01                                  | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stock<br>Exchange, Main<br>Market (XLON) |        | GBP – British<br>Pound |  |
| Nature of Tran                              | saction:                                  |                        | Price   | Volume | Total                  |  |
| Acquisition as p                            |   |                        | £3.88   | 3,982  | £15,457.01             |  |
| reinvestment of<br>dividend for the<br>year |   | Aggregated             | £3.882  | 3,982  | £15,457.01             |  |

| 1 - Details of the person discharging manageria                 | al responsibilities / person closely associated  |
|---|--|
| Name of natural person  | Surendra Rosha   |
| 2 - Reason for the notification                                 |  |
| Position/status   | Co-Chief Executive of Asia Pacific and The<br>Hongkong and Shanghai Banking Corporation<br>Limited |
| Initial notification/amendment                                  | Initial Notification   |
| 3 - Details of the issuer, emission allowance m auction monitor | arket participant, auction platform, auctioneer or   |
| Full name of the entity   | HSBC Holdings plc  |
| Legal Entity Identifier code                                    | MLU0ZO3ML4LN2LL2TL39   |
| 4 - Details of the transaction(s)                               |  |

# Transaction(s) summary table

| Date of<br>Transaction   | Financial<br>Instrument                   | Identification<br>Code | Place of Tra                | nsaction | Currency                         |
|--|---|------------------------|-----------------------------|----------|----------------------------------|
| 2021-09-30   | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stocl<br>Main Market |          | USD –<br>United States<br>Dollar |
| Nature of Trar   | saction:                                  |                        | Price                       | Volume   | Total                            |
| Shares allocate  | ed in lieu of                             |                        | US\$5.41                    | 129      | US\$697.46                       |
| the interim divident the interim divident the interim divident term of term o | dend for the                              | Aggregated             | US\$5.407                   | 129      | US\$697.46                       |

| Date of<br>Transaction                      | Financial<br>Instrument                   | Identification<br>Code | Place of Tra                | nsaction    | Currency               |
|---|---|------------------------|-----------------------------|-------------|------------------------|
| 2021-10-01                                  | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stock<br>Main Market |             | GBP – British<br>Pound |
| Nature of Tran                              | saction:                                  |                        | Price                       | Volume      | Total                  |
| Acquisition as p                            |   |                        | £3.88                       | 1,094.27761 | £4,247.68              |
| reinvestment of<br>dividend for the<br>year |   | Aggregated             | £3.882                      | 1,094.27761 | £4,247.68              |

| Date of<br>Transaction                     | Financial<br>Instrument                   | Identification<br>Code | Place of Trai           | nsaction | Currency                  |
|--|---|------------------------|-------------------------|----------|---------------------------|
| 2021-10-04                                 | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | Hong Kong S<br>Exchange | tock     | HKD – Hong<br>Kong Dollar |
| Nature of Trar                             | saction:                                  |                        | Price                   | Volume   | Total                     |
| Acquisition as                             | part of the                               |                        | HKD40.32                | 1.07868  | HKD43.49                  |
| reinvestment o<br>dividend for the<br>year |   | Aggregated             | HKD40.318               | 1.07868  | HKD43.49                  |

|   | responsibilities / person closely associated      |
|---|---|
| Name of natural person                            | Ian Stuart  |
| 2 - Reason for the notification                   |   |
| Position/status                                   | Chief Executive, HSBC UK Bank plc                 |
| Initial notification/amendment                    | Initial Notification                              |
| 3 - Details of the issuer, emission allowance man | rket participant, auction platform, auctioneer or |

Full name of the entity

HSBC Holdings plc

Legal Entity Identifier code

MLU0ZO3ML4LN2LL2TL39

# 4 - Details of the transaction(s)

Transaction(s) summary table

| Date of<br>Transaction              | Financial<br>Instrument                   | Identification<br>Code | Place of Transaction                            |        | Currency                      |  |
|-------------------------------------|---|------------------------|---|--------|-------------------------------|--|
| 2021-09-30                          | Ordinary<br>shares of<br>US\$0.50<br>each | GB0005405286           | London Stock<br>Exchange, Main<br>Market (XLON) |        | USD – United<br>States Dollar |  |
| Nature of Tran                      | saction:                                  |                        | Price   | Volume | Total                         |  |
| Shares allocate                     | ed in lieu of                             |                        | US\$5.41  | 444    | US\$2,400.57                  |  |
| the interim divid<br>2021 half-year | dend for the                              | Aggregated             | US\$5.407                                       | 444    | US\$2,400.57                  |  |

For any queries related to this notification, please contact:

Lee Davis Shareholder Services 020 7991 3048