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### **HSBC** Holdings plc

# **Overseas Regulatory Announcement**

The attached announcement has been released to the other stock exchanges on which HSBC Holdings plc is listed.

The Board of Directors of HSBC Holdings plc as at the date of this announcement comprises: Mark Tucker\*, John Flint, Phillip Ameen†, Kathleen Casey†, Laura Cha†, Henri de Castries†, Lord Evans of Weardale†, Joachim Faber†, Irene Lee†, John Lipsky†, Iain Mackay, Heidi Miller†, Marc Moses, David Nish†, Jonathan Symonds†, Jackson Tai† and Pauline van der Meer Mohr†.

- \* Non-executive Group Chairman
- † Independent non-executive Director

Hong Kong Stock Code: 5



# **HSBC HOLDINGS PLC**

### 10 April 2018

Notification of Transactions by Persons Discharging Managerial Responsibilities ("PDMRs") and persons closely associated with the PDMRs

The following transactions relating to dividends of US\$0.50 ordinary shares (the "Shares") were made on 6 April 2018:

### **Directors**

Name	Shares acquired/allocated	Price per Share/ADS
Kathleen Casey	39 American Depositary Shares (representing 195 ordinary shares of US\$0.50 each)	US\$50.0903 <sup>1</sup>
Henri de Castries	358	US\$10.0177
John Flint	7,031	US\$10.0177
Irene Lee	221	US\$10.0177
lain Mackay	27,249	US\$10.0177
Heidi Miller	17 American Depositary Shares (representing 85 ordinary shares of US\$0.50 each)	US\$47.2950 <sup>1</sup>
Marc Moses	27,248	US\$10.0177
Mark Tucker	5,785	US\$10.0177

<sup>&</sup>lt;sup>1</sup>American Depositary Shares ('ADS') are categorised as equity derivatives under Part XV of the Securities and Futures Ordinance of Hong Kong. Each ADS represents five HSBC Holdings plc ordinary shares. ADS are traded in New York.

### Other PDMRs

Name	Shares acquired/allocated	Price per Share
Samir Assaf	19,992	US\$10.0177
Peter Boyles	4,891	US\$10.0177
-	6,425	£6.6662
Patrick Burke	2,989	US\$10.0177
	6,305	£6.6554
Andy Maguire	3,550	US\$10.0177
Paulo Maia	2,935	US\$10.0177
	11	£6.6571
	4,677	£6.6662
Charlie Nunn	537	US\$10.0177
Noel Quinn	3,813	US\$10.0177
Antonio Simoes	3,981	US\$10.0177
Peter Wong	20,352	US\$10.0177
	18,358	£6.6662

## Persons closely associated ("CAP") with a PDMR

Name	Shares acquired/allocated	Price per Share
Camay Wong (CAP of Peter Wong)	511	US\$10.0177

The following disclosures are made in accordance with Article 19 of the EU Market Abuse Regulation 596/2014.

1 - Details of the person discharging manag	gerial responsibilities / person closely associated		
Name of natural person	Kathleen Casey		
2 - Reason for the notification			
Position/status	Non-executive Director		
Initial notification/amendment	Initial Notification		
3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor			
Full name of the entity	HSBC Holdings plc		
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39		
4 - Details of the transaction(s)			

## Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code	Place of Tra	nsaction	Currency
2018-04-06	American Depositary Shares ('ADS'). Each ADS represents five HSBC Holdings plc Ordinary shares of US\$0.50 each	GB0005405286	Outside of a venue	trading	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition			US\$50.09	39	US\$1,953.52
		Aggregated	US\$50.090	39	US\$1,953.52

# 1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Henri de Castries

2 - Reason for the notification

Position/status Non-executive Director

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code	Place of Trans	saction	Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Mai (XLON)	in Market	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition			US\$10.02	358	US\$3,586.34
		Aggregated	US\$10.018	358	US\$3,586.34

1 - Details of the person discharging man	agerial responsibilities / person closely associated
Name of natural person	John Flint
2 - Reason for the notification	
Position/status	Group Chief Executive
Initial notification/amendment	Initial Notification
3 - Details of the issuer, emission allowan auction monitor	nce market participant, auction platform, auctioneer or
Full name of the entity	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39
4 - Details of the transaction(s)	
Transaction(s) summary table	

Date of Transaction	Financial Instrument	Identification Code	Place of Trai	nsaction	Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, M Market (XLOI	ain	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition			US\$10.02	7,031	US\$70,434.45
		Aggregated	US\$10.018	7,031	US\$70,434.45

1 - Details of the person discharging manage	erial responsibilities / person closely associated
Name of natural person	Irene Lee

Name of natural person

2 - Reason for the notification

Position/status Non-executive Director

Initial notification/amendment **Initial Notification** 

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity **HSBC** Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code	Place of Trai	nsaction	Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, M Market (XLOI	ain	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition			US\$10.02	221	US\$2,213.91
		Aggregated	US\$10.018	221	US\$2,213.91

# 1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person lain Mackay 2 - Reason for the notification

Position/status Group Finance Director

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

**Transaction** 

Instrument

Code

Date of Transaction	Financial Instrument	Identification Code	Place of Trai	nsaction	Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, M Market (XLOI	ain	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition			US\$10.02	27,249	US\$272,972.31
		Aggregated	US\$10.018	27,249	US\$272,972.31

1 - Details of the person discharg	ing managerial responsibilities / person closely associated
Name of natural person	Heidi Miller
2 - Reason for the notification	
Position/status	Non-executive Director
Initial notification/amendment	Initial Notification
3 - Details of the issuer, emission auction monitor	allowance market participant, auction platform, auctioneer or
Full name of the entity	HSPC Holdings plo
	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39
Legal Entity Identifier code  4 - Details of the transaction(s)	·
	MLU0ZO3ML4LN2LL2TL39

2018-04-06	American Depositary Shares ('ADS'). Each ADS represents five HSBC Holdings plc Ordinary shares of US\$0.50 each	GB0005405286	Outside a trad venue	ding	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition			US\$47.30	17	US\$804.02
		Aggregated	US\$47.295	17	US\$804.02

1 - Details of the person discharging managerial responsibilities / person closely associated					
Name of natural person	Marc Moses				
2 - Reason for the notification					
Position/status	Group Chief Risk Officer				
Initial notification/amendment	Initial Notification				
3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor					
Full name of the entity	HSBC Holdings plc				
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39				
4 - Details of the transaction(s)					

Transaction(s)	summary table

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, M Market (XLO	ain	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition		Aggregated	US\$10.02 US\$10.018	27,248 <b>27,248</b>	US\$272,962.29 US\$272,962.29
		Aggregated	00¢10.010	21,240	ουψε <i>ι</i> 2,302.23

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Mark Tucker

2 - Reason for the notification

Position/status Non-executive Group Chairman

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		USD – United States Dollar
Nature of Transaction:			Price	Volume	Total
Acquisition			US\$10.02	5,785	US\$57,952.39
		Aggregated	US\$10.018	5,785	US\$57,952.39

1 - Details of the person discharging managerial responsibilities / person closely associated					
Name of natural person	Samir Assaf				
2 - Reason for the notification					
Position/status	Chief Executive, Global Banking and Markets				
Initial notification/amendment	Initial Notification				
3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor					
Full name of the entity	HSBC Holdings plc				
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39				
4 - Details of the transaction(s)					

### Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code	Place of Tra	nsaction	Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, M Market (XLO	ain	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition		Aggregated	US\$10.02 US\$10.018	19,992 <b>19,992</b>	US\$200,273.86 US\$200,273.86

1 - Details of the person discharging managerial responsibilities / person closely associated	1 -	- Details of the p	person discharging	managerial res	ponsibilities / r	person closely	/ associated
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Name of natural person Peter Boyles

2 - Reason for the notification

Position/status Chief Executive of Global Private Banking

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, M Market (XLO	ain	USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition		Aggregated	US\$10.02 US\$10.018	4,891 <b>4,891</b>	US\$48,996.57 US\$48,996.57

Date of	Financial	Identification	Place of Transaction	Currency
Transaction	Instrument	Code		

2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, M Market (XLOI	ain	GBP – British Pound
Nature of Tran	saction:		Price	Volume	Total
Acquisition			£6.67	6,425	£42,830.34
		Aggregated	£6.666	6,425	£42,830.34

1 - Details of the person discharging manage	gerial responsibilities / person closely associated				
Name of natural person	Patrick Burke				
2 - Reason for the notification					
Position/status	President and Chief Executive of HSBC US				
Initial notification/amendment	Initial Notification				
3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor					
Full name of the entity	HSBC Holdings plc				
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39				
4 - Details of the transaction(s)					

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition		Aggregated	US\$10.02 2,989 US\$10.018 2,989		US\$29,942.91 US\$29,942.91

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		GBP – British Pound
Nature of Tran	saction:		Price	Volume	Total

Acquisition		£6.66	6,305	£41,962.30
	Aggregated	£6.655	6,305	£41,962.30

	1 - Details of the person discharging managerial responsibilities / person closely associated						
ame of natural	person		Andy Maguire				
- Reason for th	e notification						
osition/status			Group Chief	Operating (	Officer		
nitial notification/amendment			Initial Notifica	ition			
3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor							
Full name of the entity HSBC Holdings plc							
egal Entity Ider	ntifier code		MLU0ZO3ML	.4LN2LL2T	L39		
- Details of the Transaction(s	transaction(s						
Date of Transaction	Financial Instrument	Identification Code	Place of Tra	nsaction	Currency		
	Ondingen	GB0005405286	London Stock Exchange, Main Market (XLON)		1100 11 11		
2018-04-06	Ordinary shares of US\$0.50 each		Exchange, M	ain	USD – United States Dollar		
	shares of US\$0.50 each		Exchange, M	ain			

1 - Details of the person discharging managerial responsibilities / person closely associated						
Name of natural person	Paulo Maia					
2 - Reason for the notification						
Position/status	Chief Executive, Latin America					
Initial notification/amendment	Initial Notification					
3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor						
Full name of the entity	HSBC Holdings plc					

# 4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition			US\$10.02	2,935	US\$29,401.95
		Aggregated	US\$10.018	2,935	US\$29,401.95

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		GBP – British Pound
Nature of Tran	saction:		Price	Volume	Total
Acquisition			£6.66 11		£73.23
		Aggregated	£6.657 11		£73.23

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		GBP – British Pound
Nature of Tran	saction:		Price	Volume	Total
Acquisition			£6.67	4,677	£31,177.82
			£6.666	4,677	£31,177.82

1 - Details of the person discharging managerial responsibilities / person closely associated					
Name of natural person	Charlie Nunn				
2 - Reason for the notification					

Position/status Chief Executive, Retail Banking and Wealth

Management

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		USD – United States Dollar
Nature of Transaction:			Price	Volume	Total
Acquisition		Aggregated	US\$10.02 US\$10.018	537 <b>537</b>	US\$5,379.50 US\$5,379.50

1 - Details of the person discharging managerial responsibilities / person closely associated								
Name of natural persor	ı	Noel Quinn						
2 - Reason for the notif	ication							
Position/status		Chief Executive, Global	Chief Executive, Global Commercial Banking					
Initial notification/amer	ndment	Initial Notification						
3 - Details of the issuer auction monitor	, emission allowance	market participant, auction	platform, auctioneer or					
Full name of the entity		HSBC Holdings plc						
Legal Entity Identifier o	eode	MLU0ZO3ML4LN2LL2T	MLU0ZO3ML4LN2LL2TL39					
4 - Details of the transa	ection(s)							
Transaction(s) summary table								
Date of Final Transaction Instr	ncial Identification ument Code	Place of Transaction	Currency					

2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition	Acquisition		US\$10.02	3,813	US\$38,197.49
		Aggregated	US\$10.018	3,813	US\$38,197.49

1 - Details of the person discharging ma	anagerial responsibilities / person closely associated
Name of natural person	Antonio Simoes
2 - Reason for the notification	
Position/status	Chief Executive, HSBC Bank plc
Initial notification/amendment	Initial Notification
3 - Details of the issuer, emission allow	ance market participant, auction platform, auctioneer or
auction monitor	
Full name of the entity	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39
4 - Details of the transaction(s)	
Transaction(s) summary table	

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition		A serve sected	US\$10.02	3,981	US\$39,880.46
		Aggregated	US\$10.018	3,981	US\$39,880.46

1 - Details of the person discharging managerial responsibilities / person closely associated					
Name of natural person	Peter Wong				
2 - Reason for the notification					

**Position/status** Deputy Chairman and Chief Executive, The

Hongkong and Shanghai Banking Corporation

Limited

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Financial Instrument	Identification Code	Place of Transaction		Currency
Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		USD – United States Dollar
saction:		Price	Volume	Total
	Aggregated	US\$10.02 US\$10.018	20,352 <b>20,352</b>	US\$203,880.23 US\$203,880.23
	Instrument Ordinary shares of US\$0.50 each	Instrument Code Ordinary shares of US\$0.50 each saction:	Instrument Ordinary shares of US\$0.50 each saction:  Code  GB0005405286 London Stock Exchange, M Market (XLOI	Instrument Ordinary shares of US\$0.50 each saction:  Code  London Stock Exchange, Main Market (XLON)  Price  Volume  US\$10.02 20,352

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		GBP – British Pound
Nature of Tran	saction:		Price	Volume	Total
Acquisition		Aggregated	£6.67 £6.666	18,358 <b>18,358</b>	£122,378.10 £122,378.10

1 - Details of the person discharging managerial responsibilities / person closely associated				
Name of natural person	Camay Wong			
2 - Reason for the notification				
Position/status	Closely associated person of Peter Wong, Deputy Chairman and Chief Executive, The Hongkong and Shanghai Banking Corporation Limited			
Initial notification/amendment	Initial Notification			

# 3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

### 4 - Details of the transaction(s)

### Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2018-04-06	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		USD – United States Dollar
Nature of Tran	saction:		Price	Volume	Total
Acquisition		Aggregated	US\$10.02 US\$10.018	511 <b>511</b>	US\$5,119.04 US\$5,119.04

For any queries related to this notification, please contact:

JJ Williams Shareholder Services 020 3268 3568