# **HSBC HOLDINGS PLC**



# 7 July 2017

# Notification of Transactions of Persons Discharging Managerial Responsibilities ("PDMRs") and persons closely associated with the PDMRs

The following acquisitions relating to dividends of US\$0.50 ordinary shares (the "Shares") were made on 5 July 2017:

# **Directors**

Name	Price per Share / ADS <sup>1</sup>	Shares acquired
Kathleen Casey	US\$43.2500 <sup>1</sup>	20 American Depositary Shares <sup>1</sup> (representing 100 ordinary shares of US\$0.50 each)
Henri de Castries	US\$8.6500	191
Douglas Flint	£7.2917	59
Stuart Gulliver	US\$8.6500	33,954
Irene Lee	US\$8.6500	118
lain Mackay	US\$8.6500	18,985
Heidi Miller	US\$43.2500 <sup>1</sup>	9 American Depositary Shares <sup>1</sup> (representing 45 ordinary shares of US\$0.50 each)
Marc Moses	US\$8.6500	19,190

# **Other PDMRs**

Name	Price per Share	Shares acquired
Samir Assaf	US\$8.6500	18,711
Peter Boyles	US\$8.6500	5,489
	£7.2917	3,032
Patrick Burke	US\$8.6500	5,212
	£7.2917	2,158
John Flint	US\$8.6500	6,931
Andy Maguire	US\$8.6500	3,078
Paulo Maia	US\$8.6500	2,894
	£7.2917	2,207
	£7.2563	4
Noel Quinn	US\$8.6500	3,840
Antonio Simoes	US\$8.6500	3,934
Peter Wong	US\$8.6500	23,748
	£7.2917	13,096

# Persons closely associated ("CAP") with the PDMR

Name	Price per Share	Shares acquired
Camay Wong	US\$8.6500	273
(CAP of Peter Wong)		

<sup>&</sup>lt;sup>1</sup>American Depositary Shares ('ADS') are categorised as equity derivatives under Part XV of the Securities and Futures Ordinance of Hong Kong. Each ADS represents five HSBC Holdings plc ordinary shares. ADS are traded in New York.

The following disclosures are made in accordance with Article 19 of the EU Market Abuse Regulation 596/2014.

1 - Details of the person discharging m	nanagerial responsibilities / person closely associated
Name of natural person	Kathleen Casey
2 - Reason for the notification	
Position/status	Non-executive Director
Initial notification/amendment	Initial Notification
3 - Details of the issuer, emission allow auction monitor	wance market participant, auction platform, auctioneer or
Full name of the entity	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39
4 - Details of the transaction(s)	

Date of Transaction	Financial Instrument	Identification Code				Currency		
2017-07-05	American Depositary Shares ('ADS'). Each ADS represents five HSBC Holdings plc ordinary shares of US\$0.50 each	GB0005405286		Outside venue	e a trading	USD – United States Dollar		
Nature of Transac	Nature of Transaction:		Price		Volume	Total		
Acquisition			\$43.25		20	\$865.00		
		Aggregated	\$43.2	25	20	\$865.00		

1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Henri de Castries

2 - Reason for the notification

Position/status Non-executive Director

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code		Place of Transa	· <del>-</del>	Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each	GB0005405286		London Stock Exchange, Main Market (XLON)			D – United ites Dollar
Nature of Transac	ction:		Price		Volume		Total
Acquisition			\$8.65	5	191		\$1,652.15
		Aggregated	\$8.65	5	191		\$1,652.15

1 - Details of the person discharging managerial responsibilities / person closely associated						
Name of natural perso	on	Douglas Flint				
2 - Reason for the notif	ication					
Position/status		Group Chairman				
Initial notification/ame	endment	Initial Notification				
3 - Details of the issuer auction monitor	, emission allo	wance market partic	ipant, auction plati	form, auctioneer or		
Full name of the entity	/	HSBC Holdings p	lc			
Legal Entity Identifier	code	MLU0ZO3ML4LN	2LL2TL39			
4 - Details of the transaction(s)						
Transaction(s) summary table						
2000 01	inancial nstrument	Identification Code	Place of Transaction	Currency		

2017-07-05	Ordinary shares of US\$0.50 each	GB0005405286		London Stock Exchange, Main Market (XLON)		 P – British und
Nature of Transac	ction:		Price		Volume	Total
Acquisition			£7.29	)	59	£430.21
		Aggregated	£7.29	)2	59	£430.21

1 - Details of the person discharging r	1 - Details of the person discharging managerial responsibilities / person closely associated				
Name of natural person	Stuart Gulliver				
2 - Reason for the notification					
Position/status	Group Chief Executive				
Initial notification/amendment	Initial Notification				
3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor					
Full name of the entity	HSBC Holdings plc				
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39				
A Dataile of the transportion(e)					
4 - Details of the transaction(s)					

Date of Transaction	Financial Instrument	Identification Code		Place of Transa		Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each	GB0005405286		London Stock Exchange, Main Market (XLON)			D – United ates Dollar
Nature of Transac	ction:		Price		Volume		Total
Acquisition			\$8.65	5	33,954		\$293,702.10
		Aggregated	\$8.65	5	33,954		\$293,702.10

1 - Details of the person discharging managerial responsibilities / person closely associated		
Name of natural person Irene Lee		
2 - Reason for the notification		
Position/status	Non-executive Director	

Initial notification/amendment	Initial Notification
3 - Details of the issuer, emission al auction monitor	lowance market participant, auction platform, auctioneer or
Full name of the entity	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39
4 - Details of the transaction(s)	

# Transaction(s) summary table

Date of Transaction	Financial Instrument			Place of Transaction		Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each	Exch		London Stock Exchange, Main Market (XLON)			D – United ates Dollar
Nature of Transac	ction:		Price		Volume		Total
Acquisition			\$8.65	118			\$1,020.70
		Aggregated	\$8.65	5	118		\$1,020.70

1 - Details of the person discharging	managerial responsibilities / person closely associated
Name of natural person	lain Mackay
2 - Reason for the notification	
Position/status	Group Finance Director
Initial notification/amendment	Initial Notification
3 - Details of the issuer, emission al auction monitor	lowance market participant, auction platform, auctioneer or
Full name of the entity	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39
4 - Details of the transaction(s)	

Date of Transaction	Financial Instrument	Identification Code			saction		rrency
2017-07-05	Ordinary	GB000540528	36	London Stock			D – United
	shares of				xchange, Main		ites Dollar
	US\$0.50 each			Market	(XLON)		
Nature of Transa	ction:		Price	•	Volume		Total
Acquisition			\$8.65	5	18,985		\$164,220.25
		Aggregated	\$8.65	5	18,985		\$164,220.25

Name of natural person Heidi Miller

2 - Reason for the notification

Position/status Non-executive Director

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code		Place of Transa		Currency
2017-07-05	American Depositary Shares ('ADS'). Each ADS represents five HSBC Holdings plc ordinary shares of US\$0.50 each.	GB000540528	Outside a trading venue		a trading	USD – United States Dollar
Nature of Transac	ction:		Price		Volume	Total
Acquisition			\$43.2	25	9	\$389.25
		Aggregated	\$43.2	25	9	\$389.25

1 - Details of the person discharging managerial responsibilities / person closely associated									
Name of natural person	Marc Moses								
2 - Reason for the notification									
Position/status	Position/status Group Chief Risk Officer								
Initial notification/amendment	Initial Notification								

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

# 4 - Details of the transaction(s)

#### Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code		Place of Transa	-	Currency	
2017-07-05	Ordinary shares of US\$0.50 each	E		London Stock Exchange, Main Market (XLON)		USD – United States Dollar	
Nature of Transac	ction:		Price		Volume		Total
Acquisition			\$8.65	5	19,190		\$165,993.50
		Aggregated	\$8.65	5	19,190		\$165,993.50

1 - Details of the person discharging managerial responsibilities / person closely associated								
Name of natural person	Samir Assaf							
2 - Reason for the notification								
Position/status	Chief Executive, Global Banking and Markets							
Initial notification/amendment	Initial Notification							
3 - Details of the issuer, emission all auction monitor	lowance market participant, auction platform, auctioneer or							
Full name of the entity	HSBC Holdings plc							
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39							

# 4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code		Place o	-	Cu	rrency
2017-07-05	Ordinary shares of			London Stock Exchange, Main			D – United Ites Dollar
	US\$0.50 each			Market (XLON)			
Nature of Transa	ction:		Price		Volume		Total
Acquisition			\$8.65	5	18,711		\$161,850.15

	Aggregated	\$8.65	18,711	\$161,850.15
-				

Name of natural person Peter Boyles

2 - Reason for the notification

Position/status Chief Executive, Global Private Banking

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

#### 4 - Details of the transaction(s)

#### Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code		Place of Transa	-	Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each			London Stock Exchange, Main Market (XLON)			SP – British und
Nature of Transac	ction:		Price	•	Volume		Total
Acquisition			£7.29	)	3,032		£22,108.43
		Aggregated	£7.29	)2	3,032		£22,108.43

Date of Transaction	Financial Instrument	Identification Code		Place of Transaction		Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each			London Stock Exchange, Main Market (XLON)			D – United ites Dollar
Nature of Transa	ction:		Price	•	Volume		Total
Acquisition			\$8.65	5	5,489		\$47,479.85
		Aggregated	\$8.65	5	5,489		\$47,479.85

# 1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Patrick Burke

#### 2 - Reason for the notification

Position/status President and Chief Executive of HSBC US

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

# 4 - Details of the transaction(s)

Date of Transaction	Financial Instrument			Place o	-	Currency	
2017-07-05	Ordinary shares of US\$0.50 each	E		London Stock Exchange, Main Market (XLON)			BP – British und
Nature of Transa	ction:		Price		Volume		Total
Acquisition			£7.29		2,158		£15,735.49
		Aggregated	£7.29	)2	2,158		£15,735.49

Date of Transaction	Financial Instrument	Identification Code		Place of Transa	-	Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each	GB0005405286		London Stock Exchange, Main Market (XLON)			D – United ites Dollar
Nature of Transac	ction:		Price	•	Volume		Total
Acquisition			\$8.65	5	5,212		\$45,083.80
		Aggregated	\$8.65	5	5,212		\$45,083.80

1 - Details of the person discharging managerial responsibilities / person closely associated							
Name of natural person	John Flint						
2 - Reason for the notification							
Position/status	Chief Executive, Retail Banking and Wealth Management						
Initial notification/amendment	Initial Notification						

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

# 4 - Details of the transaction(s)

# Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code		Place of Transaction		Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each	GB0005405286		London Stock Exchange, Main Market (XLON)			SD –United ates Dollar
Nature of Transac	ction:		Price		Volume		Total
Acquisition	Acquisition		\$8.65	5	6,931		\$59,953.15
		Aggregated	\$8.65	5	6,931		\$59,953.15

1 - Details of the person discharging r	1 - Details of the person discharging managerial responsibilities / person closely associated							
Name of natural person	Andy Maguire							
2 - Reason for the notification								
Position/status	Group Chief Operating Officer							
Initial notification/amendment	Initial Notification							
3 - Details of the issuer, emission allo auction monitor	wance market participant, auction platform, auctioneer or							
Full name of the entity	HSBC Holdings plc							
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39							

# 4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code		Place of Transaction		Currency	
2017-07-05	Ordinary shares of US\$0.50 each	Exc		London Stock Exchange, Main Market (XLON)		USD – United States Dollar	
Nature of Transac	ction:		Price	•	Volume		Total
Acquisition			\$8.65	<u>;</u>	3,078		\$26,624.70
		Aggregated	\$8.65		3,078		\$26,624.70

Name of natural person Paulo Maia

2 - Reason for the notification

Position/status Chief Executive, Latin America

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code		Place of Transa	-	Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each			London Stock Exchange, Main Market (XLON)			P – British und
Nature of Transac	ction:		Price		Volume		Total
Acquisition			£7.29	)	2,207		£16,092.78
			£7.26	3	4		£29.03
		Aggregated	£7.29	)2	2,211		£16,121.81

Date of Transaction	Financial Instrument	Identification Code		Place of Transa	· <del>-</del>	Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each			London Stock Exchange, Main Market (XLON)			D – United ates Dollar
Nature of Transac	ction:		Price	•	Volume		Total
Acquisition			\$8.65	5	2,894		\$25,033.10
		Aggregated	\$8.65	5	2,894		\$25,033.10

1 - Details of the person discharging managerial responsibilities / person closely associated								
Name of natural person	Noel Quinn							
2 - Reason for the notification								
Position/status	Chief Executive Global Commercial Banking							

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

#### Transaction(s) summary table

Date of Transaction	Financial Instrument			Place of Transaction		Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each	E		London Stock Exchange, Main Market (XLON)			D – United ates Dollar
Nature of Transac	ction:		Price		Volume		Total
Acquisition			\$8.65		3,840		\$33,216.00
		Aggregated	\$8.65	5	3,840		\$33,216.00

1 - Details of the person discharging	g managerial responsibilities / person closely associated
Name of natural person	Antonio Simoes
2 - Reason for the notification	
Position/status	Chief Executive, HSBC Bank plc
Initial notification/amendment	Initial Notification
3 - Details of the issuer, emission al auction monitor	llowance market participant, auction platform, auctioneer or
Full name of the entity	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39

# Transaction(s) summary table

4 - Details of the transaction(s)

Date of Transaction	Financial Instrument	Identification Code		Place of Transaction		Currency	
2017-07-05	Ordinary shares of US\$0.50 each	Ex		London Stock Exchange, Main Market (XLON)			D – United ites Dollar
Nature of Transa	ction:		Price		Volume		Total
Acquisition			\$8.65	5	3,934		\$34,029.10
		Aggregated	\$8.65	5	3,934		\$34,029.10

Name of natural person Peter Wong

2 - Reason for the notification

**Position/status** Deputy Chairman and Chief Executive, The

Hongkong and Shanghai Banking Corporation

Limited

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or

auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

#### 4 - Details of the transaction(s)

#### Transaction(s) summary table

Date of	Financial	Identification		Place of		Cu	rrency
Transaction	Instrument	Code		Transaction			
2017-07-05	Ordinary	GB000540528	36	London Stock		GBP – British	
	shares of			Exchange, Main		Po	und
	US\$0.50 each			Market (XLON)			
Nature of Transa	ction:		Price		Volume		Total
Acquisition			£7.29	)	13,096		£95,492.10
		Aggregated	£7.29	2	13,096		£95,492.10

Date of Transaction	Financial Instrument	Identification Code		Place of Transa	-	Cu	rrency
2017-07-05	Ordinary shares of US\$0.50 each	GB0005405286		London Stock Exchange, Main Market (XLON)		USD – United States Dollar	
Nature of Transaction:			Price		Volume		Total
Acquisition			\$8.65	5	23,748		\$205,420.20
		Aggregated	\$8.65	5	23,748		\$205,420.20

#### 1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person Camay Wong

#### 2 - Reason for the notification

**Position/status** Person closely associated with Peter Wong,

Deputy Chairman and Chief Executive, The Hongkong and Shanghai Banking Corporation

Limited

Initial notification/amendment Initial Notification

3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity HSBC Holdings plc

Legal Entity Identifier code MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

#### Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code		Place of Transaction		Currency	
2017-07-05	Ordinary shares of US\$0.50 each	GB000540528	36	London Stock Exchange, Main Market (XLON)		USD –United States Dollar	
Nature of Transaction:			<b>Price</b> \$8.65		Volume		Total
Acquisition					273		\$2,361.45
		Aggregated	\$8.65		273		\$2,361.45

For any queries related to this notification please contact: Loren Wulfsohn Shareholder Services Team 020 7991 8918