

香港交易及結算所有限公司及香港聯合交易所有限公司對本文件的內容概不負責，對其準確性或完整性亦不發表任何聲明，並明確表示，概不對因本文件全部或任何部份內容而產生或因倚賴該等內容而引致的任何損失承擔任何責任。



HSBC Holdings plc
滙豐控股有限公司

海外監管公告

所附的公告已經在HSBC Holdings plc滙豐控股有限公司上市的其他證券交易所發布。

於本公布發表之日，滙豐控股有限公司董事會成員包括： 范智廉、歐智華、安銘[†]、祈嘉蓮[†]、史美倫[†]、卡斯特[†]、埃文斯勳爵[†]、費卓成[†]、李德麟[†]、利蘊蓮[†]、利普斯基[†]、駱美思[†]、麥榮恩、苗凱婷[†]、繆思成、聶德偉[†]、施俊仁[†]、戴國良[†]、梅爾莫[†]及華爾士[†]。

[†] 獨立非執行董事

香港股份代號： 5

滙豐控股有限公司

2016年9月27日

本身股份之交易

滙豐控股有限公司（「本公司」）宣布，已於倫敦證券交易所向 Goldman Sachs International（「Goldman Sachs」）購入下列數量每股 0.5 美元之普通股，作為 2016 年 8 月 4 日所公布股份回購之一部分。

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|---------------------|------------|
| 購買日期： | 2016年9月27日 |
| 所購入每股 0.5 美元普通股之數量： | 3,269,132 |
| 每股股份所支付之最高價格： | £5.7870 |
| 每股股份所支付之最低價格： | £5.7080 |
| 每股股份所支付之成交量加權平均價： | £5.7520 |

購入該等股份後，本公司以庫存方式持有 105,735,402 股本身之普通股，而已發行普通股（不計庫存股份）之數量為 19,819,868,995 股。因此，於滙豐控股有限公司之總投票權為 19,819,868,995。投票權總數可供股東用作計算之分母，以釐定是否需要根據金融業操守監管局之《披露規則及透明度規則》，就於本公司之權益或權益變動發出通知。

根據歐盟條例第 596/2014 號第 5(1)(b)條（濫用市場條例），Goldman Sachs 所作之個別交易清單如下。

購買清單一個別交易

| 時間 | 價格 | 數量 |
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| 08:02:01 | 577.50 | 650 |
| 08:02:02 | 577.50 | 558 |
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| 08:03:13 | 578.10 | 197 |
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| 08:12:29 | 578.30 | 3,715 |
| 08:12:29 | 578.30 | 485 |
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| 08:15:24 | 577.80 | 2,000 |
| 08:15:32 | 577.80 | 1,100 |
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| 08:18:53 | 577.80 | 2,731 |
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| 08:19:59 | 578.10 | 768 |
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| 08:20:35 | 578.00 | 1,244 |
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| 08:20:50 | 578.00 | 3,700 |
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| 08:22:17 | 577.90 | 5,635 |
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| 08:24:22 | 577.30 | 5,771 |
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| 08:25:01 | 577.10 | 5,760 |
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| 08:28:47 | 577.40 | 847 |
| 08:29:04 | 577.20 | 5,855 |
| 08:29:26 | 577.00 | 5,000 |
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| 08:29:26 | 577.00 | 268 |
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| 08:32:11 | 577.40 | 2,000 |
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| 08:32:51 | 577.60 | 1,000 |
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| 08:32:51 | 577.60 | 82 |
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| 08:33:30 | 577.50 | 4,005 |
| 08:33:46 | 577.60 | 1,271 |
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| 08:33:51 | 577.60 | 2,000 |
| 08:33:51 | 577.60 | 1,000 |
| 08:34:13 | 577.70 | 1,000 |
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| 08:34:13 | 577.70 | 1,300 |
| 08:34:13 | 577.70 | 712 |
| 08:34:39 | 577.90 | 1,000 |
| 08:34:39 | 577.90 | 2,486 |
| 08:34:39 | 577.90 | 2,481 |
| 08:34:54 | 577.50 | 5,855 |
| 08:34:54 | 577.50 | 1,200 |
| 08:34:54 | 577.50 | 305 |
| 08:35:20 | 577.60 | 4,896 |
| 08:35:20 | 577.60 | 305 |
| 08:35:20 | 577.70 | 314 |
| 08:36:00 | 577.90 | 2,000 |
| 08:36:04 | 577.90 | 1,541 |
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| 08:36:14 | 577.90 | 914 |
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| 08:38:19 | 577.60 | 2,000 |
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| 08:40:06 | 577.80 | 1,662 |
| 08:40:06 | 577.80 | 61 |
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| 08:40:06 | 577.80 | 512 |
| 08:40:07 | 577.80 | 2,341 |
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| 08:42:42 | 577.50 | 119 |
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| 08:54:37 | 578.30 | 2,000 |
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| 16:12:05 | 575.40 | 189 |
| 16:12:12 | 575.40 | 1,062 |
| 16:12:12 | 575.40 | 5,802 |
| 16:12:12 | 575.40 | 53 |
| 16:12:13 | 575.40 | 2,000 |
| 16:12:13 | 575.40 | 136 |
| 16:12:34 | 575.40 | 500 |
| 16:12:34 | 575.40 | 3,952 |
| 16:14:00 | 575.60 | 1,491 |
| 16:14:00 | 575.60 | 1,424 |
| 16:14:00 | 575.60 | 22 |
| 16:14:00 | 575.60 | 18 |
| 16:14:00 | 575.60 | 2,000 |
| 16:14:00 | 575.60 | 1,353 |
| 16:14:00 | 575.60 | 120 |
| 16:15:15 | 575.50 | 2,000 |
| 16:15:20 | 575.50 | 1,549 |
| 16:15:20 | 575.50 | 2,269 |
| 16:15:28 | 575.40 | 3,524 |

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| 16:15:28 | 575.40 | 762 |
| 16:15:29 | 575.40 | 1,238 |
| 16:15:29 | 575.40 | 1,000 |
| 16:15:29 | 575.40 | 621 |
| 16:15:38 | 575.50 | 3,388 |
| 16:15:39 | 575.50 | 1,833 |
| 16:15:39 | 575.50 | 594 |
| 16:15:46 | 575.50 | 4,144 |
| 16:16:02 | 575.50 | 6,512 |
| 16:16:45 | 575.60 | 677 |
| 16:16:45 | 575.60 | 200 |
| 16:16:45 | 575.60 | 369 |
| 16:16:50 | 575.60 | 988 |
| 16:16:50 | 575.60 | 748 |
| 16:16:50 | 575.60 | 2,752 |
| 16:16:50 | 575.60 | 316 |
| 16:17:39 | 575.50 | 4,068 |
| 16:17:39 | 575.40 | 1,317 |
| 16:18:26 | 575.50 | 1,283 |
| 16:18:26 | 575.50 | 83 |
| 16:18:26 | 575.50 | 583 |
| 16:18:26 | 575.50 | 1,200 |
| 16:18:26 | 575.50 | 2,269 |
| 16:18:34 | 575.50 | 2,000 |
| 16:18:34 | 575.50 | 1,069 |
| 16:18:34 | 575.50 | 101 |
| 16:18:34 | 575.50 | 482 |
| 16:18:34 | 575.50 | 75 |
| 16:18:34 | 575.50 | 92 |
| 16:18:34 | 575.50 | 14 |
| 16:18:34 | 575.50 | 20 |
| 16:18:44 | 575.50 | 222 |
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| 16:18:45 | 575.50 | 65 |
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| 16:18:50 | 575.50 | 442 |
| 16:18:51 | 575.50 | 42 |
| 16:18:52 | 575.50 | 205 |
| 16:18:52 | 575.50 | 39 |
| 16:18:54 | 575.50 | 614 |
| 16:18:54 | 575.50 | 117 |
| 16:18:54 | 575.50 | 22 |
| 16:18:56 | 575.50 | 120 |
| 16:18:56 | 575.50 | 48 |
| 16:18:56 | 575.50 | 23 |
| 16:18:59 | 575.50 | 68 |
| 16:19:01 | 575.50 | 69 |

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| 16:19:03 | 575.50 | 185 |
| 16:19:03 | 575.50 | 36 |
| 16:19:03 | 575.50 | 165 |
| 16:19:03 | 575.50 | 38 |
| 16:19:07 | 575.50 | 1,130 |
| 16:19:08 | 575.50 | 215 |
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| 16:19:13 | 575.50 | 189 |
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| 16:19:26 | 575.50 | 172 |
| 16:19:26 | 575.50 | 33 |

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