

*Hong Kong Exchanges and Clearing Limited and The Stock Exchange of Hong Kong Limited take no responsibility for the contents of this document, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this document.*



## **HSBC Holdings plc**

### **Overseas Regulatory Announcement**

The attached announcement has been released to the other stock exchanges on which HSBC Holdings plc is listed.

The Board of Directors of HSBC Holdings plc as at the date of this announcement are: Douglas Flint, Stuart Gulliver, Phillip Ameen<sup>†</sup>, Kathleen Casey<sup>†</sup>, Laura Cha<sup>†</sup>, Henri de Castries<sup>†</sup>, Lord Evans of Weardale<sup>†</sup>, Joachim Faber<sup>†</sup>, Sam Laidlaw<sup>†</sup>, Irene Lee<sup>†</sup>, John Lipsky<sup>†</sup>, Rachel Lomax<sup>†</sup>, Iain Mackay, Heidi Miller<sup>†</sup>, Marc Moses, David Nish<sup>†</sup>, Jonathan Symonds<sup>†</sup>, Pauline van der Meer Mohr<sup>†</sup> and Paul Walsh<sup>†</sup>.

<sup>†</sup> Independent non-executive Director

Hong Kong Stock Code: 5

#### **HSBC Holdings plc**

*Registered Office and Group Head Office:*

8 Canada Square, London E14 5HQ, United Kingdom

Web: [www.hsbc.com](http://www.hsbc.com)

*Incorporated in England with limited liability. Registered in England: number 617987*

## HSBC HOLDINGS PLC

16 August 2016

### Notification of Transactions by Persons Discharging Managerial Responsibilities (“PDMRs”)

On 15 August, awards (the “Awards”) of US\$0.50 ordinary shares (the “Shares”) in HSBC Holdings plc (the “Company”) were granted under the HSBC fixed pay allowance arrangements. Awards comprise part of the relevant employees’ fixed remuneration for 2016. The Awards were made in London and are based upon the closing Share price on the London Stock Exchange on 12 August of £5.4500.

The Awards vest in full on the date of grant. Individual tax liabilities in respect of the vesting of the Awards were satisfied in cash. The number of Shares received by the Directors and other PDMRs named below is therefore net of tax.

In relation to Directors, 20 per cent of the Award is subject to retention until March 2017, with the remaining 80 per cent to be released annually thereafter in four equal tranches until 2021. In respect of the other PDMRs, 40 per cent of the Award is subject to retention until March 2017, with the remaining 60 per cent to be released annually thereafter in three equal tranches until 2020.

#### Directors

Name	Number of Shares vested
Stuart Gulliver	41,329
Iain Mackay	23,095
Marc Moses	23,095

#### Other PDMRs

Name	Number of Shares vested
Samir Assaf	61,411
Patrick Burke	5,461
John Flint	10,137
Andy Maguire	10,332
Paulo Maia	5,738
Antonio Simoes	4,132
Peter Wong	54,406

The following disclosures are made in accordance with Article 19 of the EU Market Abuse Regulation 596/2014.

#### 1 - Details of the person discharging managerial responsibilities / person closely associated

Name of natural person*	<b>First Name(s)</b>	<b>Last Name(s)</b>
	Stuart	Gulliver
<b>OR</b>		
Legal Person*		

**2 - Reason for the notification**

Position/status\*

Group Chief Executive

Initial notification/amendment\*

Initial Notification

In the case of amendment, please enter the previous notification reference number and explain the error that this notification is amending

**3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

Full name of the entity\*

HSBC Holdings plc

Legal Entity Identifier code

MLU0ZO3ML4LN2LL2TL39

**4 - Details of the transaction(s)**

Description of the financial instrument, type of instrument\*

Ordinary shares of US\$0.50 each

Identification Code\*

GB0005405286

Nature of the transaction\*

Acquisition

Currency\*

GBP

Price(s) and Volume(s)

Price	Volume
5.45	41,329

Aggregated Information

Price	Volume	Total
5.45	41,329	225,243.05

Date of Transaction

2016-08-15

Place of Transaction

London Stock Exchange, Main Market (XLON)

**1 - Details of the person discharging managerial responsibilities / person closely associated**

Name of natural person\*

First Name(s)	Last Name(s)
Iain	Mackay

OR

Legal Person\*

--	--

**2 - Reason for the notification**

Position/status*	<input type="text" value="Group Finance Director"/>
Initial notification/amendment*	<input type="text" value="Initial Notification"/>
In the case of amendment, please enter the previous notification reference number and explain the error that this notification is amending	<input type="text"/>

**3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

Full name of the entity*	<input type="text" value="HSBC Holdings plc"/>
Legal Entity Identifier code	<input type="text" value="MLU0ZO3ML4LN2LL2TL39"/>

**4 - Details of the transaction(s)**

Description of the financial instrument, type of instrument*	<input type="text" value="Ordinary shares of US\$0.50 each"/>		
Identification Code*	<input type="text" value="GB0005405286"/>		
Nature of the transaction*	<input type="text" value="Acquisition"/>		
Currency*	<input type="text" value="GBP"/>		
Price(s) and Volume(s)	Price	Volume	
	5.45	23,095	
Aggregated Information	Price	Volume	Total
	5.45	23,095	125,867.75
Date of Transaction	<input type="text" value="2016-08-15"/>		
Place of Transaction	<input type="text" value="London Stock Exchange, Main Market (XLON)"/>		

**1 - Details of the person discharging managerial responsibilities / person closely associated**

Name of natural person*	<b>First Name(s)</b>	<b>Last Name(s)</b>
	<input type="text" value="Marc"/>	<input type="text" value="Moses"/>
<b>OR</b>		
Legal Person*	<input type="text"/>	<input type="text"/>

**2 - Reason for the notification**

Position/status*	<input type="text" value="Group Chief Risk Officer"/>
------------------	---

Initial notification/amendment*	Initial Notification
In the case of amendment, please enter the previous notification reference number and explain the error that this notification is amending	

**3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

Full name of the entity*	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39

**4 - Details of the transaction(s)**

Description of the financial instrument, type of instrument*	Ordinary shares of US\$0.50 each							
Identification Code*	GB0005405286							
Nature of the transaction*	Acquisition							
Currency*	GBP							
Price(s) and Volume(s)	<table border="1"> <tr> <th>Price</th> <th>Volume</th> </tr> <tr> <td>5.45</td> <td>23,095</td> </tr> </table>	Price	Volume	5.45	23,095			
Price	Volume							
5.45	23,095							
Aggregated Information	<table border="1"> <tr> <th>Price</th> <th>Volume</th> <th>Total</th> </tr> <tr> <td>5.45</td> <td>23,095</td> <td>125,867.75</td> </tr> </table>	Price	Volume	Total	5.45	23,095	125,867.75	
Price	Volume	Total						
5.45	23,095	125,867.75						
Date of Transaction	2016-08-15							
Place of Transaction	London Stock Exchange, Main Market (XLON)							

**1 - Details of the person discharging managerial responsibilities / person closely associated**

Name of natural person*	<b>First Name(s)</b>	<b>Last Name(s)</b>
	Samir	Assaf
<b>OR</b>		
Legal Person*		

**2 - Reason for the notification**

Position/status*	CEO Global Banking & Markets
Initial notification/amendment*	Initial Notification

In the case of amendment, please enter the previous notification reference number and explain the error that this notification is amending

**3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

Full name of the entity\*

HSBC Holdings plc

Legal Entity Identifier code

MLU0ZO3ML4LN2LL2TL39

**4 - Details of the transaction(s)**

Description of the financial instrument, type of instrument\*

Ordinary shares of US\$0.50 each

Identification Code\*

GB0005405286

Nature of the transaction\*

Acquisition

Currency\*

GBP

Price(s) and Volume(s)

Price	Volume
5.45	61,411

Aggregated Information

Price	Volume	Total
5.45	61,411	334,689.95

Date of Transaction

2016-08-15

Place of Transaction

London Stock Exchange, Main Market (XLON)

**1 - Details of the person discharging managerial responsibilities / person closely associated**

Name of natural person\*

First Name(s)	Last Name(s)
Patrick	Burke

OR

Legal Person\*

**2 - Reason for the notification**

Position/status\*

President and Chief Executive of HSBC US

Initial notification/amendment\*

Initial Notification

In the case of amendment, please enter

the previous notification reference number and explain the error that this notification is amending	
---	--

**3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

Full name of the entity*	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39

**4 - Details of the transaction(s)**

Description of the financial instrument, type of instrument*	Ordinary shares of US\$0.50 each		
Identification Code*	GB0005405286		
Nature of the transaction*	Acquisition		
Currency*	GBP		
Price(s) and Volume(s)	Price	Volume	
	5.45	5,461	
Aggregated Information	Price	Volume	Total
	5.45	5,461	29,762.45
Date of Transaction	2016-08-15		
Place of Transaction	London Stock Exchange, Main Market (XLON)		

**1 - Details of the person discharging managerial responsibilities / person closely associated**

Name of natural person*	<b>First Name(s)</b>	<b>Last Name(s)</b>
	John	Flint
<b>OR</b>		
Legal Person*		

**2 - Reason for the notification**

Position/status*	Chief Executive, Retail Banking and Wealth Management
Initial notification/amendment*	Initial Notification
In the case of amendment, please enter the previous notification reference	

number and explain the error that this notification is amending							
<b>3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor</b>							
Full name of the entity*	HSBC Holdings plc						
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39						
<b>4 - Details of the transaction(s)</b>							
Description of the financial instrument, type of instrument*	Ordinary shares of US\$0.50 each						
Identification Code*	GB0005405286						
Nature of the transaction*	Acquisition						
Currency*	GBP						
Price(s) and Volume(s)	<table border="1"> <thead> <tr> <th>Price</th> <th>Volume</th> </tr> </thead> <tbody> <tr> <td>5.45</td> <td>10,137</td> </tr> </tbody> </table>	Price	Volume	5.45	10,137		
Price	Volume						
5.45	10,137						
Aggregated Information	<table border="1"> <thead> <tr> <th>Price</th> <th>Volume</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>5.45</td> <td>10,137</td> <td>55,246.65</td> </tr> </tbody> </table>	Price	Volume	Total	5.45	10,137	55,246.65
Price	Volume	Total					
5.45	10,137	55,246.65					
Date of Transaction	2016-08-15						
Place of Transaction	London Stock Exchange, Main Market (XLON)						

<b>1 - Details of the person discharging managerial responsibilities / person closely associated</b>					
Name of natural person*	<table border="1"> <thead> <tr> <th>First Name(s)</th> <th>Last Name(s)</th> </tr> </thead> <tbody> <tr> <td>Andy</td> <td>Maguire</td> </tr> </tbody> </table>	First Name(s)	Last Name(s)	Andy	Maguire
First Name(s)	Last Name(s)				
Andy	Maguire				
	<b>OR</b>				
Legal Person*	<table border="1"> <tr> <td></td> <td></td> </tr> </table>				
<b>2 - Reason for the notification</b>					
Position/status*	Group Chief Operating Officer				
Initial notification/amendment*	Initial Notification				
In the case of amendment, please enter the previous notification reference number and explain the error that this notification is amending					



**3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**Full name of the entity\* Legal Entity Identifier code **4 - Details of the transaction(s)**Description of the financial instrument, type of instrument\* Identification Code\* Nature of the transaction\* Currency\* Price(s) and Volume(s)

Price	Volume
5.45	10,332

Aggregated Information

Price	Volume	Total
5.45	10,332	56,309.40

Date of Transaction Place of Transaction **1 - Details of the person discharging managerial responsibilities / person closely associated**Name of natural person\*

First Name(s)	Last Name(s)
Paulo	Maia

OR

Legal Person\* **2 - Reason for the notification**Position/status\* Initial notification/amendment\* In the case of amendment, please enter the previous notification reference number and explain the error that this notification is amending

**3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**Full name of the entity\* Legal Entity Identifier code **4 - Details of the transaction(s)**Description of the financial instrument, type of instrument\* Identification Code\* Nature of the transaction\* Currency\* Price(s) and Volume(s)

Price	Volume
5.45	5,738

Aggregated Information

Price	Volume	Total
5.45	5,738	31,272.10

Date of Transaction Place of Transaction **1 - Details of the person discharging managerial responsibilities / person closely associated**Name of natural person\*

First Name(s)	Last Name(s)
Antonio	Simoes

OR

Legal Person\* **2 - Reason for the notification**Position/status\* Initial notification/amendment\* In the case of amendment, please enter the previous notification reference number and explain the error that this notification is amending **3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor**

Full name of the entity*	HSBC Holdings plc							
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39							
<b>4 - Details of the transaction(s)</b>								
Description of the financial instrument, type of instrument*	Ordinary shares of US\$0.50 each							
Identification Code*	GB0005405286							
Nature of the transaction*	Acquisition							
Currency*	GBP							
Price(s) and Volume(s)	<table border="1"> <tr> <th>Price</th> <th>Volume</th> </tr> <tr> <td>5.45</td> <td>4,132</td> </tr> </table>	Price	Volume	5.45	4,132			
Price	Volume							
5.45	4,132							
Aggregated Information	<table border="1"> <tr> <th>Price</th> <th>Volume</th> <th>Total</th> </tr> <tr> <td>5.45</td> <td>4,132</td> <td>22,519.40</td> </tr> </table>	Price	Volume	Total	5.45	4,132	22,519.40	
Price	Volume	Total						
5.45	4,132	22,519.40						
Date of Transaction	2016-08-15							
Place of Transaction	London Stock Exchange, Main Market (XLON)							

<b>1 - Details of the person discharging managerial responsibilities / person closely associated</b>		
Name of natural person*	<b>First Name(s)</b>	<b>Last Name(s)</b>
	Peter	Wong
<b>OR</b>		
Legal Person*		

<b>2 - Reason for the notification</b>	
Position/status*	Deputy Chairman and Chief Executive, The Hongkong and Shanghai Banking Corporation Limited
Initial notification/amendment*	Initial Notification
In the case of amendment, please enter the previous notification reference number and explain the error that this notification is amending	

<b>3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor</b>
--

Full name of the entity*	HSBC Holdings plc							
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39							
<b>4 - Details of the transaction(s)</b>								
Description of the financial instrument, type of instrument*	Ordinary shares of US\$0.50 each							
Identification Code*	GB0005405286							
Nature of the transaction*	Acquisition							
Currency*	GBP							
Price(s) and Volume(s)	<table border="1"> <thead> <tr> <th>Price</th> <th>Volume</th> </tr> </thead> <tbody> <tr> <td>5.45</td> <td>54,406</td> </tr> </tbody> </table>	Price	Volume	5.45	54,406			
Price	Volume							
5.45	54,406							
Aggregated Information	<table border="1"> <thead> <tr> <th>Price</th> <th>Volume</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>5.45</td> <td>54,406</td> <td>296,512.70</td> </tr> </tbody> </table>	Price	Volume	Total	5.45	54,406	296,512.70	
Price	Volume	Total						
5.45	54,406	296,512.70						
Date of Transaction	2016-08-15							
Place of Transaction	London Stock Exchange, Main Market (XLON)							

For any queries related to this notification please contact: Nickesha Graham-Burrell, Senior Assistant Company Secretary on +44 (0)20 7992 3633.