

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/	mm/yyyy):	30/09/2013					
To: Hong Kong Exchanges	and Clearing	g Limited					
Name of Issuer HSBC Holdings plc							
Date Submitted	7 October	er 2013					
I. Movements in Authorised	l Share Capit	tal					
1. Ordinary Shares							
(1) Stock code : <u>5</u>	Descrip	otion:	Ordinar	y Shares			
		No. of ordinary	Par value y (State currency)	Authorised share capital (State currency)			
Balance at close of precedir	ng month	N/A (please refer to rema	arks)	N/A (please refer to remarks)			
Increase/(decrease)							
Balance at close of the mon	th	N/A (please refer to remain	arks)	N/A (please refer to remarks)			
(2) Stock code : N/A	Descrip	otion :					
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)			
Balance at close of precedir	ng month						
Increase/(decrease)		_	_				
Balance at close of the mon	th		_				

2.	Pre	feren	ce S	Shar	e
			•		

Stock code : N/A	A Descri	,	nulative Prefere nulative Series	ence Shares A Sterling Preference Share
Balance at close of preced	ing month	No. of preference shares N/A (please refer to remarks)	Par value (State currency)	Authorised share capital (State currency) N/A (please refer to remarks)
Increase/(decrease)				
Balance at close of the mo	onth	N/A (please refer to remarks)		N/A (please refer to remarks)
3. Other Classes of Shares				
Stock code : N/A	A Descri	iption :		
		No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preced	ing month			
Increase/(decrease)			_	
Balance at close of the mo	nth		_	
Total authorised share cap	ital at the end	d of the month (State curr	rency): (pl	N/A ease refer to remarks)

II. Movements in Issued Share Capital

	No. of ordina	ary shares	No of preference	No. of other classes of
	(1)	(2)	shares	shares
Balance at close of preceding month	18,655,930,052 (US\$0.50)	N/A	a) 1,450,000 (US\$0.01) b) 1 (£0.01)	N/A
preceding month	(03\$0.30)	IN/A	0) 1 (£0.01)	
Increase/ (decrease)	1,353,156			
during the month	(US\$0.50)	N/A	N/A	N/A
Balance at close of the	18,657,283,208		a) 1,450,000 (US\$0.01)	
month	(US\$0.50)	N/A	b) 1 (£0.01)	N/A

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of		Movement durin	ng the month			No. of new shares of issuer which may be issued pursuant thereto as at close of the month	
shares issuable	Granted	Exercised	Cancelled	Lapsed		or the month	
1. HSBC Holdings							
Executive Share							
Option Scheme							
Ordinary shares							
(Note 1)							
(Note 1)							
2. HSBC Holdings Savings-Related Share Option Plans							
Ordinary shares	8,679,304	841,806		436,914	841,806	96,893,308	
(Note 1)							
3. HSBC Holdings Group Share Option Plan (/ /)				200 260		50.075.405	
Ordinary shares				299,369		59,875,485	
(Note 1) 4. HSBC Share Plan (/ /)							
Ordinary shares						86,046	
(Note 1)		_	. 1 4 (0 =	•	0.44.00.5		
		То	tal A. (Ordin	•			
			`	ence shares)			
			•	Other class)			
Total funds raised during the month from exercise of options (State currency) £2,262,860.12, HK\$18,430,951.87, US\$372,406.40 and €65,631.15							

Warrants to Issue Shares of the Iss	suer which are to be Listed					
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal val nominal close of value preceding m	f du	xercised uring the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
	·					<u> </u>
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy) 2. N/A	(/ /)					
	•					
	<u> </u>					<u> </u>
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
3. N/A						
	·					
(/ /) Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)					
4. N/A						
	. <u></u>					-
(/ /) Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
			a	_		
		Total B.		nary shares)	N/A	-
				ence shares)	N/A	.
			((Other class)	N/A	-

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	_					
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date (if applicable) (dd/mm/yyyy))	(/ /)					
2. N/A						
Stock code (if listed) Class of shares	- 					
issuable (<i>Note 1</i>) Subscription price EGM approval date		<u></u>				
(if applicable) (dd/mm/yyyy) 3. N/A	(/ /)					
	<u> </u>					
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
4. N/A						
Stock code (if listed) Class of shares	- 					
issuable (<i>Note 1</i>) Subscription price EGM approval date		<u> </u>				
(if applicable) (dd/mm/yyyy)	(/ /)		Total C. (Ordir	nary shares)	N/A	
			(Prefere	Other class)	N/A N/A	-

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

man t	inder Share Option Schemes)		
Full if ap	particulars including EGM approval date (dd/mm/yyyy), plicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	N/A		
-		1	
-		1	
-	(/ /)	1	
-	shares (Note 1)		
-			-
2.	N/A		
	* V * *	1	
-		1	
-	(/ /)		
-	shares (Note 1)		
-	Situres (Note 1)		-
3.	N/A		
-			
-		1	
-	(/ /)		
-	shares (Note 1)		
-	Situles (11010-1)		
-	Total D. (Ordinary shares)	N/A	
-	(Preference shares)	N/A	
-	(Other class)	N/A	
	(Other class)	11/11	
		l	

							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of
	Type of Issue							the month
				Class of shares issuable (<i>Note 1</i>)				
1.	Rights issue	At price : State currency —	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
							-	
				Class of shares issuable (<i>Note 1</i>)				
2.	Open offer	At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /			
								_
				Class of shares issuable (<i>Note 1</i>)				
3.	Placing	At price : State currency —	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (<i>Note 1</i>)				
4.	Bonus issue		N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
1				EGM approval date:	(/ /			

For Main Board and GEM listed issuers

							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of
	Type of Issue							the month
				Class of shares issuable (<i>Note 1</i>)				
5.	Scrip dividend	At price : State currency -	N/A	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /			
				Class of shares repurchased (<i>Note 1</i>)				
6.	Repurchase of shares		N/A	Cancellation date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares redeemed (Note 1)				
7.	Redemption of shares		N/A	Redemption date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (<i>Note 1</i>)				
8.	Consideration issue	At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		

N/A

N/A

				I	For Main Board a	and GEM listed issu	ers
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares or issuer which may be issued pursuant thereto a at close of the mont.
				Class of shares issuable (<i>Note 1</i>)			
9.	Capital reorganisation		N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		- ———
10.	Other (Please specify) Awards of Performance Shares and Restricted Shares under the HSBC Share Plan and the HSBC Share Plan 2011	At price: State currency	(i) £ 6.760 (ii) £ 6.777	Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	Ordinary (i) (02/09/2013) (ii) (30/09/2013) (N/A)		
						(i) 454,217 (ii) 57,133	
				Total E.	(Ordinary shar (Preference shar (Other cla	es) N/A	
Т	otal increase / (de	crease) in ordinary sh	ares during the	month (i.e. Total of A to I	E): (1)	1,353,156	j
					(2)	N/A	

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):
The UK Companies Act 2006 has abolished the concept of authorised share capital with effect from 1 October
2009. To reflect this, the new Articles of Association adopted with effect from 1 October 2009 has been
amended to remove any reference to authorised share capital.
Submitted by: William S M Tam
Title: Authorised Signatory
(Director, Secretary or other duly authorised officer)
(Director, Secretary of other duty additionsed officer)

State the class of shares (e.g. ordinary, preference or other).

If there is insufficient space, please append the prescribed continuation sheet.

Notes:

1.

2.