BLOCK LISTING SIX MONTHLY RETURN

INFORMATION PROVIDED ON THIS FORM MUST BE TYPED OR PRINTED ELECTRONICALLY AND PROVIDED TO AN *RIS*.

Date: 30 January 2013

| Name of applicant: | | HSBC | Holdings plo | С | |
|---|-----------|---|--|-----|------------|
| Name of scheme: | | Employee Share Option Scheme | | | |
| | | 2. Executive Share Option Scheme | | | |
| | | 3. Employee SAYE Plan | | | |
| | | 4. Ongoing obligations to issue shares in connection with the acquisition of HSBC Finance Corporation (formerly Household International Inc.) | | | |
| | | 5. HSBC Holdings Group Share Option Plan | | | |
| | | 6. HSBC Share Plan | | | |
| | | 7. HSBC Share Plan 2011 | | | |
| | | | | | |
| Period of return: | From: | 01.07. | 2012 | To: | 31.12.2012 |
| Balance of unallotted securities under scheme(s) from previous return: | | 1. | 122,418 ordinary shares of US\$0.50 each | | |
| | | 2. 1,468,459 ordinary shares of US\$0.50 each | | | |
| | | 3. 4,582,260 ordinary shares of US\$0.50 each | | | |
| | | 4. 68,325,450 ordinary shares of US\$0.50 each | | | |
| | | 5. 18,660,927 ordinary shares of US\$0.50 each | | | |
| | | 6. 53,512,352 ordinary shares of US\$0.50 each | | | |
| | | 7. | 7. 78,381,809 ordinary shares of US\$0.50 each | | |
| Plus: The amount by which the block scheme(s) has been increased since the date | | 1. | 0 | | |
| | | 2. 0 | | | |
| of the last return (if any increase has been applied for): | ias uccii | 3. | 3. 70,000,000 | | |
| | | 4. 0 | | | |
| | | 5. 0 | | | |
| | | 6. 25,000,000 | | | |
| | | 7. | 75,000,000 | | |
| | | | | | |

| Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G): | 1. 0 2. 0 3. 61,607,305 4. 0 5. 1,606,032 6. 802,394 7. 1,843,835 |
|--|--|
| Equals: Balance under scheme(s) not yet issued/allotted at end of period: | 1. 122,418 2. 1,468,459 3. 12,974,955 4. 68,325,450 5. 17,054,895 6. 77,709,958 7. 151,537,974 |

| Name of contact: | Elaine Williams, Deputy Group Company Secretary |
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| Telephone number of contact: | 020 7991 2600 |