

## **Monthly Return of Equity Issuer on Movements in Securities**

For the month ended (dd/mr	m/yyyy):	31/08/20	011				
To: Hong Kong Exchanges an	nd Clearing	Limited					
Name of Issuer	HSBC Ho	oldings plc					
Date Submitted	6 Septeml	per 2011					
I. Movements in Authorised S	Share Capita	1					
1. Ordinary Shares							
(1) Stock code :5	Descript	ion:		Ordinar	y Shares		
		sh	ordinary ares	Par value (State currency)	Authorised share capital (State currency)		
Balance at close of preceding	month	N/A (please refer to remarks)			N/A (please refer to remarks)		
Increase/(decrease)							
Balance at close of the month			J/A r to remarks	)	N/A (please refer to remarks)		
(2) Stock code : N/A	Descript	ion:					
		No. of or shar	•	Par value (State currency)	Authorised share capital (State currency)		
Balance at close of preceding	month						
Increase/(decrease)							
Balance at close of the month							

N/A (please refer to remarks)

2. Preference Shares		For M	Iain Board and GEM listed issuer				
	· · · · · · · · · · · · · · · · · · ·	a) Non-cumulative Preference Shares b) Series A Sterling Preference Share					
Balance at close of preceding month	No. of preference shares N/A (please refer to remarks)	Par value (State currency)	Authorised share capital (State currency)  N/A (please refer to remarks)				
Increase/(decrease)							
Balance at close of the month	N/A (please refer to remarks)		N/A (please refer to remarks)				
3. Other Classes of Shares							
Stock code : N/A Desc	ription :						
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)				
Balance at close of preceding month		-					
Increase/(decrease)		-					
Balance at close of the month							

Total authorised share capital at the end of the month ( $\mathit{State\ currency}$ ):

## II. Movements in Issued Share Capital

	No. of ordina	ry shares	No of preference	No. of other classes of	
	(1)	(2)	shares	shares	
Balance at close of	17,840,836,919		a) 1,450,000 (US\$0.01)		
preceding month	(US\$0.50)	N/A	b) 1 (£0.01)	N/A	
Increase/ (decrease)	5,699,035				
during the month	(US\$0.50)	N/A	N/A	N/A	
Balance at close of the	17,846,535,954		a) 1,450,000 (US\$0.01)		
month	(US\$0.50)	N/A	b) 1 (£0.01)	N/A	

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable		Movement during				No. of new shares of issuer which may be issued pursuant thereto as at close of the month	
	Granted	Exercised	Cancelled	Lapsed			
1. HSBC Holdings Executive Share							
Option Scheme							
Option Scheme							
Ordinary shares							
(Note 1)							
2. HSBC Holdings Savings-Related Share Option Plan							
Ordinary shares		5,699,035		140,072	5,699,035	167,013,740	
(Note 1)				,			
3. HSBC Holdings Group Share Option Plan  ( / / ) Ordinary shares				315,475		121,595,203	
(Note 1)				313,473		121,373,203	
4. HSBC Share Plan							
·						551 657	
Ordinary shares						551,657	
(Note 1)		То	,	nary shares) ence shares) Other class)	N/A		
Total funds raised during the m (State currency)	onth from exe			121,166,970.82, €704,197.44			

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	-				
	-				
Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy)  2. N/A	( / / ) -				
	-				
( / / ) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)  3. N/A	( / / )				
	- -				
Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date					
(if applicable) (dd/mm/yyyy)  4. N/A	( / / ) -				
	<u> </u>		· ——		-
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / / )				
	Tota	(Prefer	nary shares) ence shares) Other class)	N/A N/A N/A	- - -

Convertibles (i.e. Convertible in	nto Shares of the Is	ssuer which are to	be Listed)			
Class and description  1. N/A	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	_					
Stock code (if listed) Class of shares	_ _ 					
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A	( / / )	<u> </u>				
	_ _ _					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)  3. N/A	( / / )					
J. 1VA	_ _ _					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
4. N/A	_ _ _					
Stock code (if listed)						-
Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / / )		Total C. (Ordin	nary shares)	N/A	
				ence shares)	N/A	-
			((	Other class)	N/A	• •

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

man under Share Option S	ochemes)		
if applicable, and class o	g EGM approval date (dd/mm/yyyy), of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A			
-	( / / )		
-	shares (Note 1)		
	Similes (1101c 1)		-
2. N/A			
2. 17/14			
-			
	( / / )		
	shares (Note 1)		
	Silates (Note 1)		
3. N/A			
3			
-			
-	( / / )		
	shares (Note 1)		
	sitates (Note 1)		
	Total D. (Ordinary shares)	N/A	
	(Preference shares)	N/A	
	(Other class)	N/A	

Other Movements in Issued Share Capital No. of new No. of new shares of issuer shares of issued during issuer which the month may be pursuant thereto issued pursuant thereto as at close of the month Type of Issue Class of shares issuable (*Note 1*) ( / / ) At price : State currency Issue and allotment 1. Rights issue date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_ (*Note 1*) At price : State ( / / ) Issue and allotment N/A Open offer date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_ (*Note 1*) ( / / ) At price : State currency Issue and allotment 3. Placing date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable \_ (*Note 1*) N/A ( / / ) Issue and allotment Bonus issue date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy)

For Main Board and GEM listed issuers

	Time of James				or ivitali	Doa	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be
	Type of Issue							monui
				Class of shares issuable (Note 1)				
5.	Scrip dividend	At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares		N/A	Cancellation date: (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Class of shares redeemed (Note 1)				
7.	Redemption of shares		N/A	Redemption date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price : State currency	y N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		

For Main Board and GEM listed issuers No. of new No. of new shares of issuer shares of issued during issuer which may be the month pursuant thereto issued pursuant thereto as at close of the month Type of Issue Class of shares issuable -(*Note 1*) ( / / ) 9. Capital Issue and allotment N/A reorganisation date: (dd/mm/yyyy) ( / / ) EGM approval date: (dd/mm/yyyy) Class of shares issuable (*Note 1*) 10. Other (Please specify) At price : State currency Issue and allotment date: (dd/mm/yyyy) EGM approval date: ( / / ) (dd/mm/yyyy) Total E. (Ordinary shares) N/A (Preference shares) N/A (Other class) N/A

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	5,699,035
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above ("Movements in Iss	sued Share	Capital").)

Remarks (if any):
The UK Companies Act 2006 has abolished the concept of authorised share capital with effect from 1 October
2009. To reflect this, the new Articles of Association adopted with effect from 1 October 2009 has been
amended to remove any reference to authorised share capital.
Submitted by: William S M Tam
Title: Authorised Signatory
(Director, Secretary or other duly authorised officer)
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## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.